# Pronominal and reflexive resolution in noncomplementary environments

Lucas Fagen & Ming Xiang

The University of Chicago LSA Annual Meeting 2024

# Complementary distribution between pronouns and reflexives

(1) Gladys said that Ethel praised **herself**.

("principle A")

 $\rightarrow$  herself = Ethel  $\checkmark$  $\rightarrow$  herself = Gladys  $\checkmark$ 

# Complementary distribution between pronouns and reflexives

(1) Gladys said that Ethel praised herself.

("principle A")

→ herself = Ethel ✓
→ herself = Gladys ✗

(2) Gladys said that Ethel praised her.

("principle B")

- $\rightarrow$  her = Ethel X
- $\rightarrow$  her = Gladys  $\checkmark$

# Complementary distribution between pronouns and reflexives

(1) Gladys said that Ethel praised **herself**.

("principle A")

→ herself = Ethel ✓
→ herself = Gladys ✗

(2) Gladys said that Ethel praised **her**.

("principle B")

- $\rightarrow$  her = Ethel X
- → her = Gladys 🗸

Complementarity: the available antecedents for pronouns vs. reflexives are in complementary structural positions (Chomsky, 1981; Reinhart, 1983).

Complementarity does not always hold! (Cantrall, 1974; Chomsky, 1986;

Zribi-Hertz, 1989; Kuno, 1987; Pollard and Sag, 1992; Reinhart and Reuland, 1993)

Complementarity does not always hold! (Cantrall, 1974; Chomsky, 1986; Zribi-Hertz, 1989; Kuno, 1987; Pollard and Sag, 1992; Reinhart and Reuland, 1993)

(3) Gladys said that Ethel saw a picture of herself/her.

```
\rightarrow her = Gladys \checkmark
```

→ herself = Gladys 🗸

→ her = Ethel ✓

→ herself = Ethel ✓

...both ok?

Complementarity does not always hold! (Cantrall, 1974; Chomsky, 1986;

Zribi-Hertz, 1989; Kuno, 1987; Pollard and Sag, 1992; Reinhart and Reuland, 1993)

- (3) Gladys said that Ethel saw a picture of herself/her.
  - $\rightarrow$  her = Gladys  $\checkmark$
- → herself = Gladys 🗸
- $\rightarrow$  her = Ethel  $\checkmark$
- → herself = Ethel ✓

- ...both ok?
- (4) Gladys said that Ethel saw a snake near herself/her.
  - → her = Gladys ✓
- → herself = Gladys 🗸
- → her = Ethel ✓
- → herself = Ethel ✓

...both ok?

Complementarity does not always hold! (Cantrall, 1974; Chomsky, 1986; Zribi-Hertz, 1989; Kuno, 1987; Pollard and Sag, 1992; Reinhart and Reuland, 1993)

(5) Gladys said that Ethel praised both Astrid and herself/her.

```
\rightarrow her = Gladys \checkmark \rightarrow herself = Gladys \checkmark
```

ightarrow her = Ethel  $m{\chi}$  ightarrow herself = Ethel  $m{\zeta}$ 

...A exempt, B still applies?

Complementarity does not always hold! (Cantrall, 1974; Chomsky, 1986; Zribi-Hertz, 1989; Kuno, 1987; Pollard and Sag, 1992; Reinhart and Reuland, 1993)

(5) Gladys said that Ethel praised both Astrid and herself/her.

```
\rightarrow her = Gladys \checkmark \rightarrow herself = Gladys \checkmark
```

$$\rightarrow$$
 her = Ethel  $X$   $\rightarrow$  herself = Ethel  $\checkmark$ 

...A exempt, B still applies?

(6) Gladys said that Ethel was taller than herself/her.

```
→ her = Gladys ✓
→ herself = Gladys ✓
```

$$ightarrow$$
 her = Ethel  $m{X}$   $ightarrow$  herself = Ethel  $m{\checkmark}$ 

... A exempt, B still applies?

**Complementary** distribution of pronouns and reflexives in English holds in some syntactic environments, but not others.

**Complementary** distribution of pronouns and reflexives in English holds in some syntactic environments, but not others.

 Descriptively, complementarity is strongest in English when an anaphor and its antecedent are coarguments of the same predicate.

**Complementary** distribution of pronouns and reflexives in English holds in some syntactic environments, but not others.

- Descriptively, complementarity is strongest in English when an anaphor and its antecedent are coarguments of the same predicate.
- Noncomplementary environments: NPs ("picture noun phrases"),
   PPs, coordination, and comparatives.

**Complementary** distribution of pronouns and reflexives in English holds in some syntactic environments, but not others.

- Descriptively, complementarity is strongest in English when an anaphor and its antecedent are coarguments of the same predicate.
- Noncomplementary environments: NPs ("picture noun phrases"),
   PPs, coordination, and comparatives.

**Classic** binding theory needs to be modified (Zribi-Hertz, 1989; Pollard and Sag, 1992; Reinhart and Reuland, 1993; Safir, 2004; Reuland, 2011; Charnavel, 2012; Charnavel and Sportiche, 2016; Marty, 2020, and many more...)

Most experimental studies on binding and pronoun resolution have only tested coargument contexts (Nicol and Swinney, 1989; Clifton et al., 1997; Badecker and Straub, 2002; Sturt, 2003; Kennison, 2003; Kazanina et al., 2007; Xiang et al., 2009; Chen et al., 2012; Dillon et al., 2013; Chow et al., 2014; Patil et al., 2016; Parker and Phillips, 2017; Sloggett, 2017; Kush and Dillon, 2021).

Most experimental studies on binding and pronoun resolution have only tested coargument contexts (Nicol and Swinney, 1989; Clifton et al., 1997; Badecker and Straub, 2002; Sturt, 2003; Kennison, 2003; Kazanina et al., 2007; Xiang et al., 2009; Chen et al., 2012; Dillon et al., 2013; Chow et al., 2014; Patil et al., 2016; Parker and Phillips, 2017; Sloggett, 2017; Kush and Dillon, 2021).

• Substantial evidence that structural information influences online and offline resolution.

Most experimental studies on binding and pronoun resolution have only tested coargument contexts (Nicol and Swinney, 1989; Clifton et al., 1997; Badecker and Straub, 2002; Sturt, 2003; Kennison, 2003; Kazanina et al., 2007; Xiang et al., 2009; Chen et al., 2012; Dillon et al., 2013; Chow et al., 2014; Patil et al., 2016; Parker and Phillips, 2017; Sloggett, 2017; Kush and Dillon, 2021).

- Substantial evidence that structural information influences online and offline resolution.
- Binding constraints, or more general preferences for (non-)locality?

Some studies have also examined PNPs (Keller and Asudeh, 2001; Runner et al., 2006; Kaiser et al., 2009; Cunnings and Sturt, 2014, 2018) and PPs (Bryant, 2022).

Some studies have also examined PNPs (Keller and Asudeh, 2001; Runner et al., 2006; Kaiser et al., 2009; Cunnings and Sturt, 2014, 2018) and PPs (Bryant, 2022).

• **Empirical generalization**: complementarity is weaker, but still present.

Some studies have also examined PNPs (Keller and Asudeh, 2001; Runner et al., 2006; Kaiser et al., 2009; Cunnings and Sturt, 2014, 2018) and PPs (Bryant, 2022).

- **Empirical generalization**: complementarity is weaker, but still present.
- For example, participants in Cunnings and Sturt's (2014; 2018)
   eye-tracking studies still preferentially resolved PNP reflexives to local antecedents, and PNP pronouns to nonlocal antecedents.

This paper: how do comprehenders' offline resolution preferences vary across **different** noncomplementary environments?

This paper: how do comprehenders' offline resolution preferences vary across **different** noncomplementary environments?

• Is there a sharp coargument/non-coargument divide?

This paper: how do comprehenders' offline resolution preferences vary across **different** noncomplementary environments?

- Is there a sharp coargument/non-coargument divide?
- Are there still weaker locality preferences across the board?

This paper: how do comprehenders' offline resolution preferences vary across **different** noncomplementary environments?

- Is there a sharp coargument/non-coargument divide?
- Are there still weaker locality preferences across the board?
- · Are all noncomplementary environments the same?

This paper: how do comprehenders' offline resolution preferences vary across **different** noncomplementary environments?

- Is there a sharp coargument/non-coargument divide?
- Are there still weaker locality preferences across the board?
- · Are all noncomplementary environments the same?

Answering these questions can inform the study of how syntactic constraints influence resolution, as well as the theoretical study of anaphora.

#### Ex. 1: reflexives

#### **Experiment 1** tested the resolution of reflexives.

- 2x2 design crossed gender features on an embedded reflexive and a nonlocal antecedent, as in (8) (Sturt, 2003; Cunnings and Sturt, 2014, 2018).
- 5 structure types were tested; coargument and PNP items adapted from Cunnings and Sturt (2014).

#### (7) Coarguments

- a. Timothy knew that Mark had lost himself near the back of the store.
- b. Miranda knew that Mark had lost himself near the back of the store.
- c. Miranda knew that Mark had lost herself near the back of the store.
- d. Timothy knew that Mark had lost herself near the back of the store.

Local+/Nonlocal+ Local+/Nonlocal-Local-/Nonlocal+ Local-/Nonlocal-

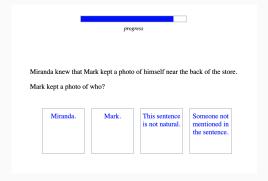
#### Ex. 1: reflexives

#### **Experiment 1** tested the resolution of reflexives.

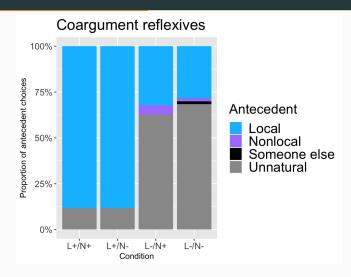
- 2x2 design crossed gender features on an embedded reflexive and a nonlocal antecedent, as in (8) (Sturt, 2003; Cunnings and Sturt, 2014, 2018).
- 5 structure types were tested; coargument and PNP items adapted from Cunnings and Sturt (2014).
- a. Coarguments: Timothy/Miranda knew that Mark had lost himself/herself near the back of the store.
  - PNPs: Timothy/Miranda knew that Mark kept a photo of himself/herself near the back of the store.
  - PPs: Timothy/Miranda claimed that Mark had found a gun near himself/herself in a paper bag.
  - d. Coordination: Timothy/Miranda claimed that Mark had impressed both Mary and himself/herself during the performance.
  - Comparatives: Timothy/Miranda claimed that Mark was taller than himself/herself by six inches.

#### Ex. 1: reflexives

Antecedent choice task: participants saw test items and were asked to choose an antecedent among 4 options: local, nonlocal, someone else, unnatural.

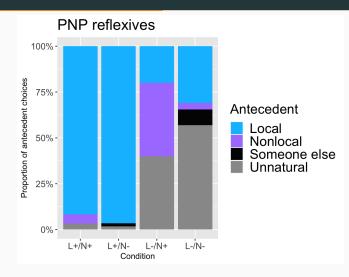


Native English speakers (n=60), each saw 20 target items with 4 per structure type and 20 fillers (Prolific, PC Ibex).



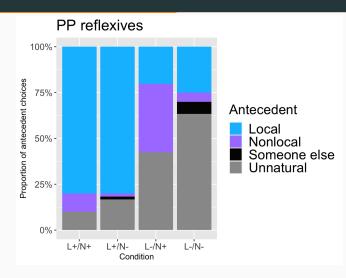
Significant effect of local match (p<0.001).

(8-a) Timothy/Miranda knew that Mark had lost himself/herself near the back of the store.



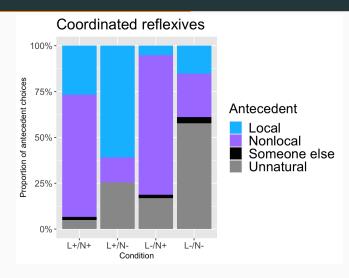
Significant effects of local match (p<0.001) and nonlocal match (p<0.01).

(8-b) **Timothy/Miranda** knew that Mark kept a photo of **himself/herself** near the back of the store.



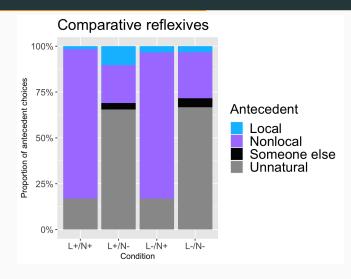
Significant effect of local match (p<0.001); secondary analysis revealed an effect of nonlocal match (p<0.001).

(8-c) **Timothy/Miranda** claimed that Mark had found a gun near **himself/herself** in a paper bag.



Significant effects of local match (p<0.001) and nonlocal match (p<0.001).

(8-d) **Timothy/Miranda** claimed that Mark had impressed both Mary and **himself/herself** during the performance.



Significant effect of nonlocal match (p<0.001).

(8-e) **Timothy/Miranda** claimed that Mark was taller than **himself/herself** by six inches.

**Reflexives** displayed a general preference for local antecedents.

- Local antecedents available to reflexives in all structures but comparatives.
- Nonlocal antecedents unavailable to coargument reflexives, available but dispreferred in PNPs and PPs, available in coordination, and the preferred option in comparatives.

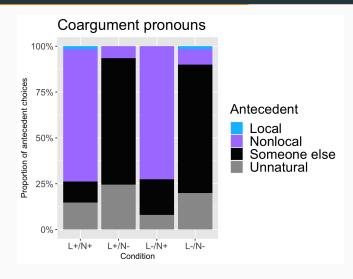
 Local only
 Local preferred
 Equal
 Nonlocal preferred

 Coarguments
 PNPs, PPs
 Coordination
 Comparatives

#### Ex. 2: pronouns

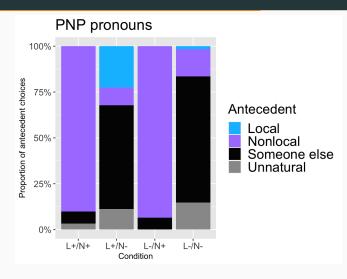
#### **Experiment 2** (n=62) tested the resolution of pronouns.

- · Otherwise identical to Ex. 1.
- a. Coarguments: Timothy/Miranda knew that Mark had lost him/her near the back of the store.
  - PNPs: Timothy/Miranda knew that Mark kept a photo of him/her near the back of the store.
  - c. PPs: Timothy/Miranda claimed that Mark had found a gun near him/her in a paper bag.
  - Coordination: Timothy/Miranda claimed that Mark had impressed both Mary and him/her during the performance.
  - Comparatives: Timothy/Miranda claimed that Mark was taller than him/her by six inches.



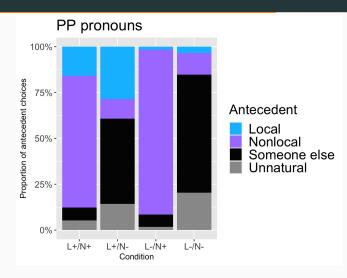
Significant effect of nonlocal match (p<0.001).

(9-a) **Timothy/Miranda** knew that Mark had lost **him/her** near the back of the store.



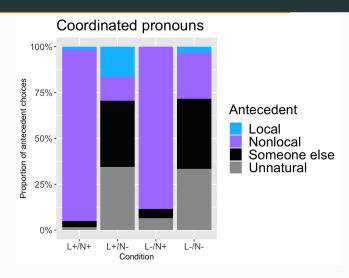
Significant effect of nonlocal match (p<0.001); secondary analysis revealed an effect of local match (p<0.05).

(9-b) **Timothy/Miranda** knew that Mark kept a photo of **him/her** near the back of the store.



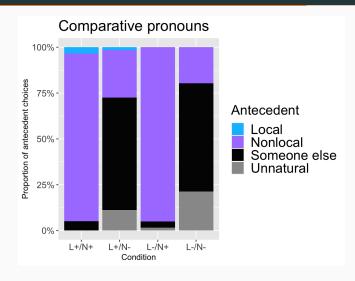
Significant effect of nonlocal match (p<0.001); secondary analysis revealed an effect of local match (p<0.001).

(9-c) **Timothy/Miranda** claimed that Mark had found a gun near **him/her** in a paper bag.



Significant effect of nonlocal match (p<0.001); secondary analysis revealed an effect of local match (p<0.01).

(9-d) **Timothy/Miranda** claimed that Mark had impressed both Mary and **him/her** during the performance.



Significant effect of nonlocal match (p<0.001).

(9-e) **Timothy/Miranda** claimed that Mark was taller than **him/her** by six inches.

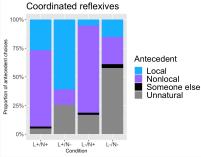
**Pronouns** displayed a strong preference for nonlocal antecedents.

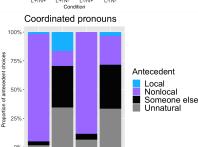
- Nonlocal antecedents available in all 5 structures.
- **Local** antecedents unavailable in coarguments and comparatives, available but dispreferred in PNPs, PPs, and coordination.

Nonlocal only
Coarguments, comparatives

Nonlocal preferred PNPs, PPs, coordination

### Coordination



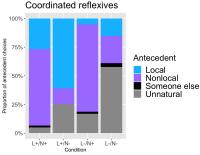


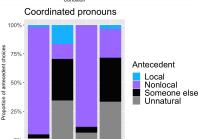
Asymmetric **coordination** results: equal rates of local and nonlocal choices in Ex. 1, but local option dispreferred in Ex. 2.

L+/N- L-/N+

L+/N+

### Coordination





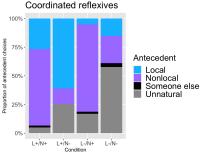
Asymmetric coordination results: equal rates of local and nonlocal choices in Ex. 1, but local option dispreferred in Ex. 2.

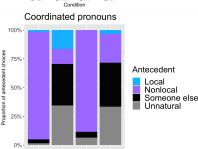
- · Condition B stronger than A?
- · Surprising to see any local choices in Fx. 2

L+/N+

L-/N+

# Coordination





Asymmetric **coordination** results: equal rates of local and nonlocal choices in Ex. 1, but local option dispreferred in Ex. 2.

- Condition B stronger than A?
- Surprising to see any local choices in Ex. 2 - but see Jacobson (2007)

L+/N+ L+/N-

L-/N+

We evaluate comprehenders' resolution preferences across 5 syntactic environments: coarguments, PNPs, PPs, coordination, and comparatives.

We evaluate comprehenders' resolution preferences across 5 syntactic environments: coarguments, PNPs, PPs, coordination, and comparatives.

• **Reflexives** like local antecedents, but this preference varies across environments (and was eliminated with comparatives).

We evaluate comprehenders' resolution preferences across 5 syntactic environments: coarguments, PNPs, PPs, coordination, and comparatives.

- **Reflexives** like local antecedents, but this preference varies across environments (and was eliminated with comparatives).
- Pronoun preference for nonlocal antecedents was stronger, but not total.

We evaluate comprehenders' resolution preferences across 5 syntactic environments: coarguments, PNPs, PPs, coordination, and comparatives.

- **Reflexives** like local antecedents, but this preference varies across environments (and was eliminated with comparatives).
- Pronoun preference for nonlocal antecedents was stronger, but not total.

Noncomplementary environments vary significantly!

### **Future directions**

### Two potentially important factors:

• Semantics of the embedded predicate, i.e., degree of "verb reflexivity" (Smits et al., 2007).

#### **Future directions**

### Two potentially important factors:

- Semantics of the embedded predicate, i.e., degree of "verb reflexivity" (Smits et al., 2007).
- Discourse properties of the matrix predicate and sensitivity to logophoricity (Charnavel, 2012; Sloggett, 2017).

Thanks!

#### References i

# References

- Badecker, W. and Straub, K. (2002). The processing role of structural constraints on the interpretation of pronouns and anaphors. Journal of Experimental Psychology: Learning, Memory, and Cognition, 28:748–769.
- Bryant, S. (2022). Location, location, location: anaphora selection in english locative prepositional phrases. In Proceedings of LSA 7(1).
- Cantrall, W. R. (1974). Viewpoint, reflexives, and the nature of noun phrases. Mouton, The Hague.
- Charnavel, I. (2012). On her own: probing syntax and semantics with French propre. PhD thesis, UCLA.
- Charnavel, I. and Sportiche, D. (2016). Anaphor binding: what French inanimate anaphors show. Linguistic Inquiry, 47(1):35–87.

#### References ii

- Chen, Z., Jäger, L., and Vasishth, S. (2012). How structure-sensitive is the parser? evidence from mandarin chinese. Empirical approaches to linguistic theory: studies in meaning and structure, 111(43).
- Chomsky, N. (1981). Lectures on government and binding: The Pisa lectures. Mouton de Grutyer, Berlin.
- Chomsky, N. (1986). Knowledge of language: its nature, origin, and use. Praeger, New York.
- Chow, W.-Y., Lewis, S., and Phillips, C. (2014). Immediate sensitivity to structural constraints in pronoun resolution. Frontiers in Psychology, 5(630):1–16.
- Clifton, C., Kennison, S., and Albrecht, J. (1997). Reading the words her, his, him: implications for parsing principles based on frequency and on structure. Journal of Memory and Language.
- Cunnings, I. and Sturt, P. (2014). Coargumenthood and the processing of reflexives. Journal of Memory and Language, 75:117–139.

### References iii

- Cunnings, I. and Sturt, P. (2018). Coargumenthood and the processing of pronouns. Language, Cognition and Neuroscience, 33(10):1235–1251.
- Dillon, B., Mishler, A., Sloggett, S., and Phillips, C. (2013). Contrasting intrusion profiles for agreement and anaphora: experimental and modeling evidence. Journal of Memory and Language, 69:85–103.
- Jacobson, P. (2007). Direct compositionality and variable-free semantics: the case of principle B effects. In Barker, C. and Jacobson, P., editors, Direct Compositionality. Oxford University Press, New York.
- Kaiser, E., Runner, J., Sussman, R., and Tanenhaus, M. (2009). Structural and semantic constraints on the resolution of pronouns and reflexives. Cognition, 112(1):55–80.
- Kazanina, N., Lau, E., Lieberman, M., Yoshida, M., and Phillips, C. (2007). The effect of syntactic constraints on the processing of backwards anaphora. Journal of Memory and Language, 56:384–409.

#### References iv

- Keller, F. and Asudeh, A. (2001). Constraints on linguistic coreference: structural vs. pragmatic factors. In Proceedings of the Annual Meeting of the Cognitive Science Society 23.
- Kennison, S. (2003). Comprehending the pronouns her, him, and his: implications for theories of referential processing. Journal of Memory and Language, 49:335–352.
- Kuno, S. (1987). Functional syntax: anaphora, discourse, and empathy. University of Chicago Press, Chicago.
- Kush, D. and Dillon, B. (2021). Principle B constrains the processing of cataphora: evidence for syntactic and discourse predictions. Journal of Memory and Language, 120.
- Marty, P. (2020). What do french inanimate anaphors really show? Linguistic Inquiry, 51(1):184–198.

#### References v

- Nicol, J. and Swinney, D. (1989). The role of structure in coreference assignment during sentence comprehension. Journal of Psycholinguistic Research, 18:5–20.
- Parker, D. and Phillips, C. (2017). Reflexive attraction in comprehension is selective. Journal of Memory and Language, 94:272–290.
- Patil, U., Vasishth, S., and Lewis, R. (2016). Retrieval interference in syntactic processing: the case of reflexive binding in english. Frontiers in Psychology, 7(329).
- Pollard, C. and Sag, I. A. (1992). Anaphors in English and the scope of binding theory. Linguistic Inquiry, 23(2):261–303.
- Reinhart, T. (1983). Anaphora and Semantic Interpretation. Croom Helm, London.
- Reinhart, T. and Reuland, E. (1993). Reflexivity. Linguistic Inquiry, 24(4):657–720.
- Reuland, E. (2011). Anaphora and language design. MIT Press, Cambridge.

#### References vi

- Runner, J., Sussman, R., and Tanenhaus, M. (2006). Processing reflexives and pronouns in picture noun phrases. Cognitive Science, 30:193–241.
- Safir, K. (2004). The syntax of anaphora. Oxford University Press, Oxford.
- Sloggett, S. (2017). When errors aren't: how comprehenders selectively violate binding theory. PhD thesis, University of Massachusetts Amherst.
- Smits, E.-J., Hendriks, P., and Spenader, J. (2007). Using very large parsed corpora and judgment data to classify verb reflexivity. In Discourse Anaphora and Anaphor Resolution Colloquium, pages 77–93. Springer Berlin Heidelberg.
- Sturt, P. (2003). The time-course of the application of binding constraints in reference resolution. Journal of Memory and Language, 48:542–562.
- Xiang, M., Dillon, B., and Phillips, C. (2009). Illusory licensing effects across dependency types: Erp evidence. Brain and Language, 108(1):40–55.

# References vii

Zribi-Hertz, A. (1989). Anaphor binding and narrative point of view: English reflexive pronouns in sentence and discourse. Language, 65(4):695–727.